

# Predicting the Tropical Cyclone Genesis

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Acknowledgement: **Melinda Peng, Jim Hansen (NRL), X. Ge, B. Fu (UH)**

**ONR support**

- **Dynamical Approach**
- **Statistical approach**

*Tropical Cyclone Conference, 4/29 – 5/1/09, Pearl Harbor, Hawaii*

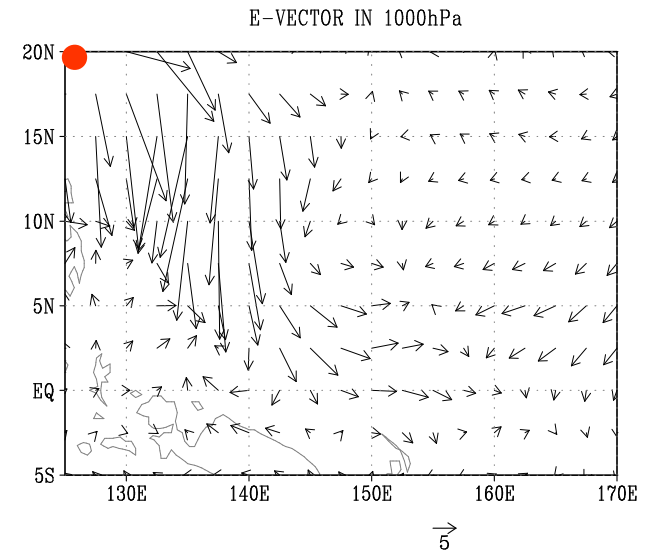
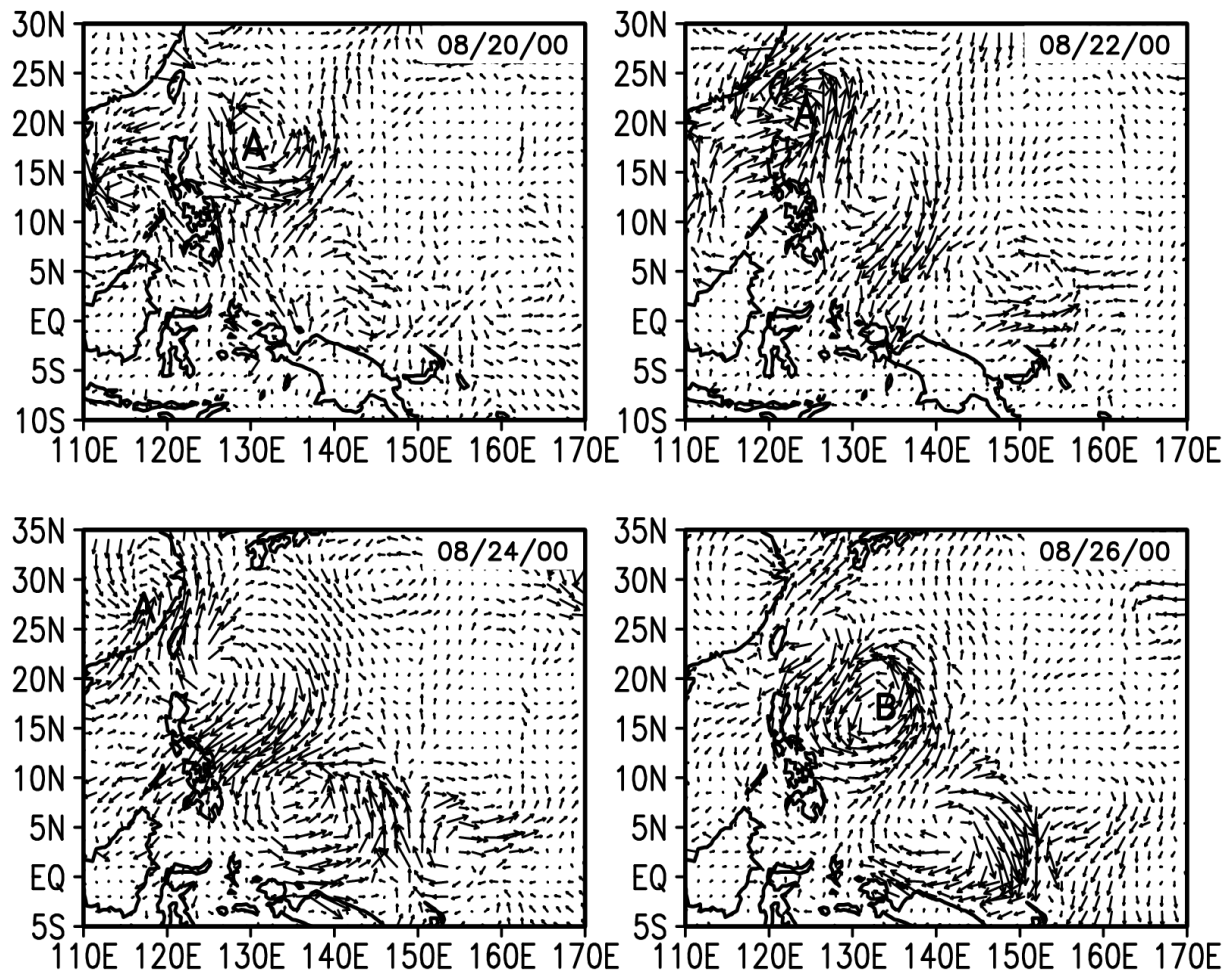
# Real-case TC genesis forecast for Prapiroon (2000)

**A typical case of TCED (TC Energy Dispersion)-induced  
cyclogenesis**

A: TC Bilis; B: TC Prapiroon

Li and Fu 2006, JAS

Li et al. 2006, JAS



$$\vec{E} = \left( \frac{[v'^2 - u'^2]}{2}, [-u'v'] \right)$$

3-8 day band pass-filtered surface  
QuikSCAT wind

20m/s

# MM5 forecast experiment

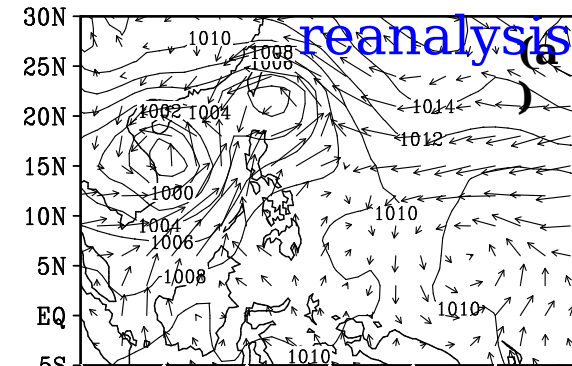
**CTL:** retain the pre-existing TC Bilis

**EXP:** remove the pre-existing TC

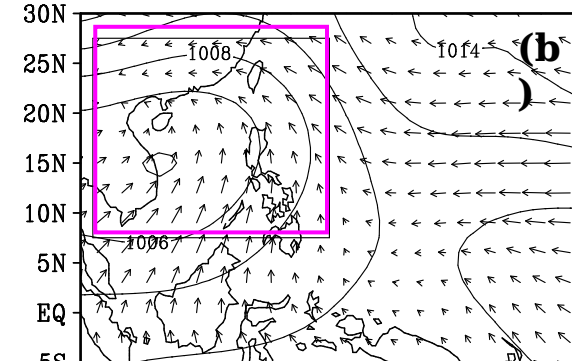
Left: A spatial filter (Kurihara et al. 1993) is applied to extract the pre-existing TC vortex from the large-scale environmental flow.  $h = h_B + h_D$ .

Initial condition:  
NECP/NCAR

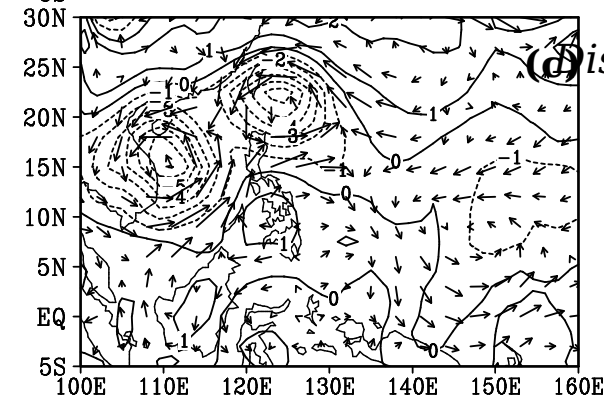
reanalysis



$$h = h_B + h_D$$

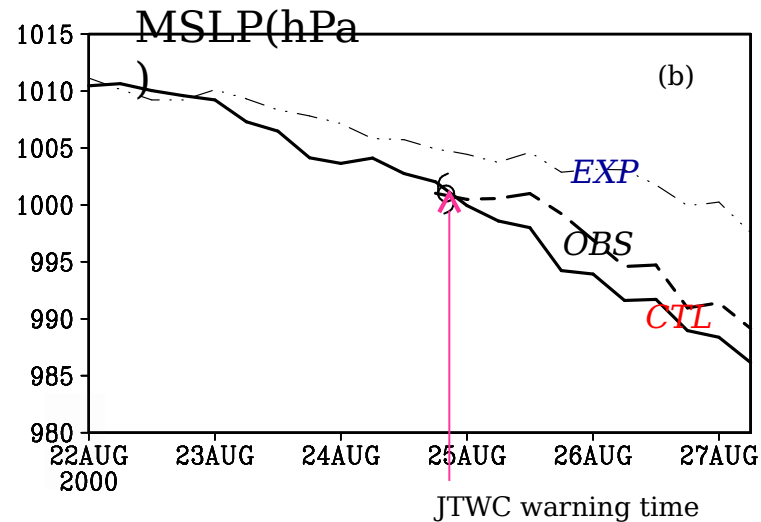
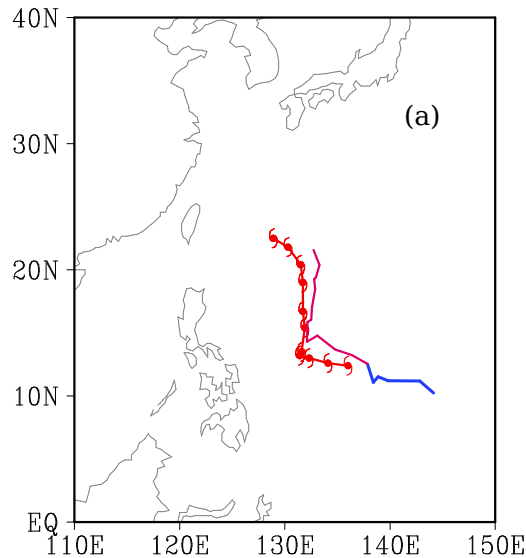


Basic field  
 $h_B$



Disturbance field  
 $h_D$

## Forecasted TC intensity and track

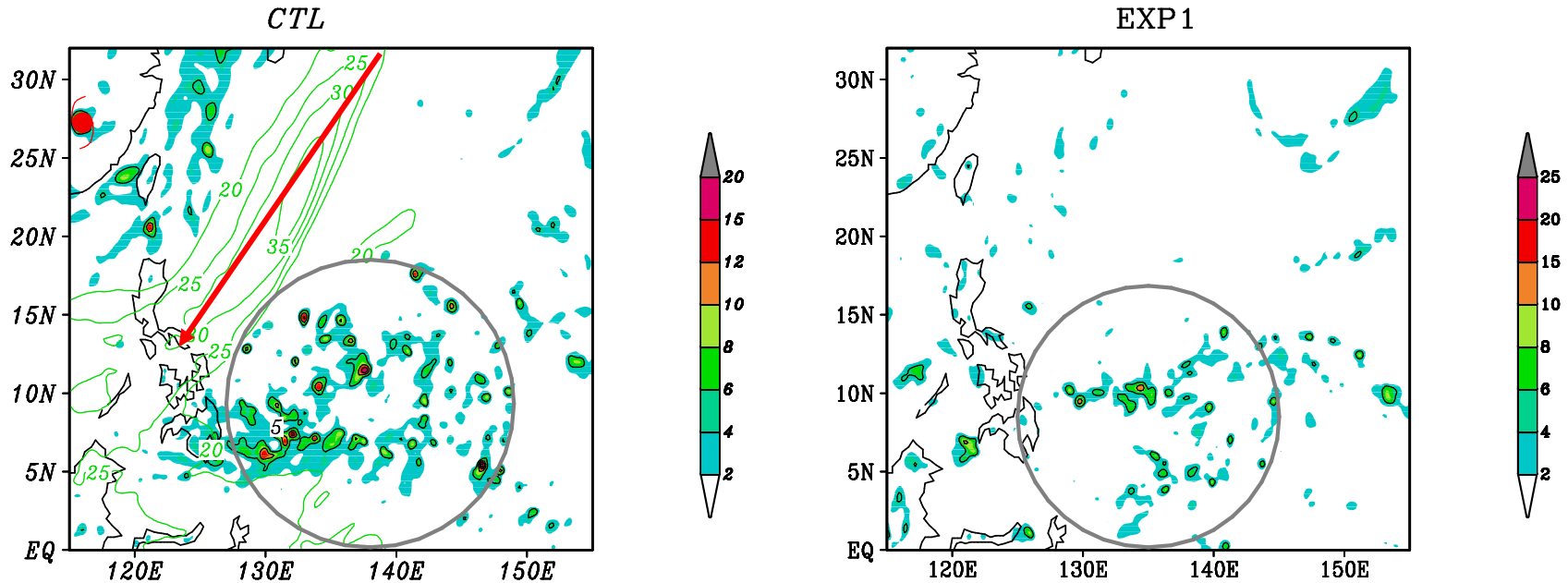


CTL: with pre-existing typhoon  
EXP: without pre-existing typhoon

Through what process does the pre-existing typhoon affect the subsequent TC genesis and its intensity?

# The upper level circulation

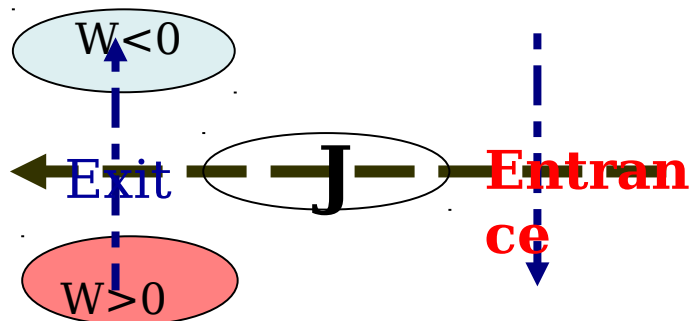
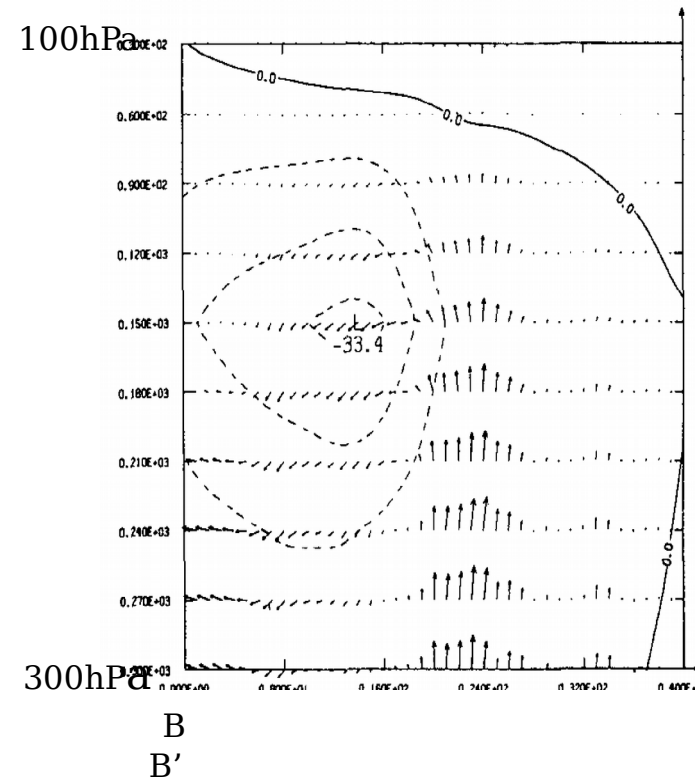
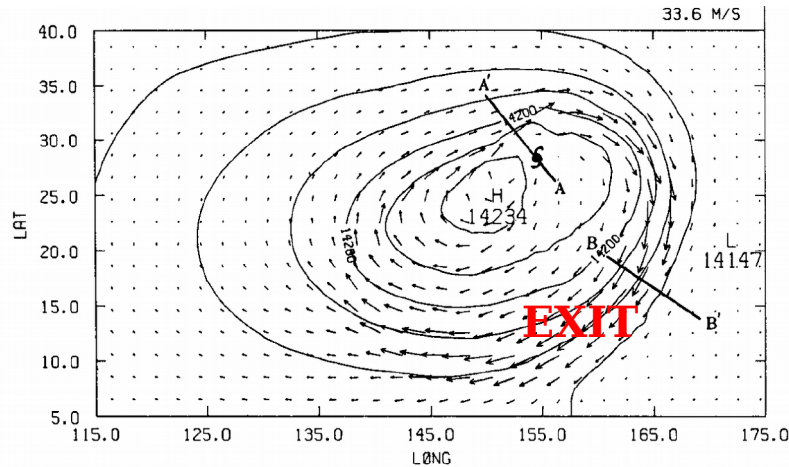
150-hPa **divergence field** ( $\geq 2 \times 10^{-5} \text{ s}^{-1}$  are **shaded**) and total velocity of **jet core** (**contour**) in CTL and EXP run at Hour 48



Enhanced upper-level divergence appears at left-exit side of the TC outflow jet.

# Secondary circulation induced by upper outflow jet

Shi et al. (1990)



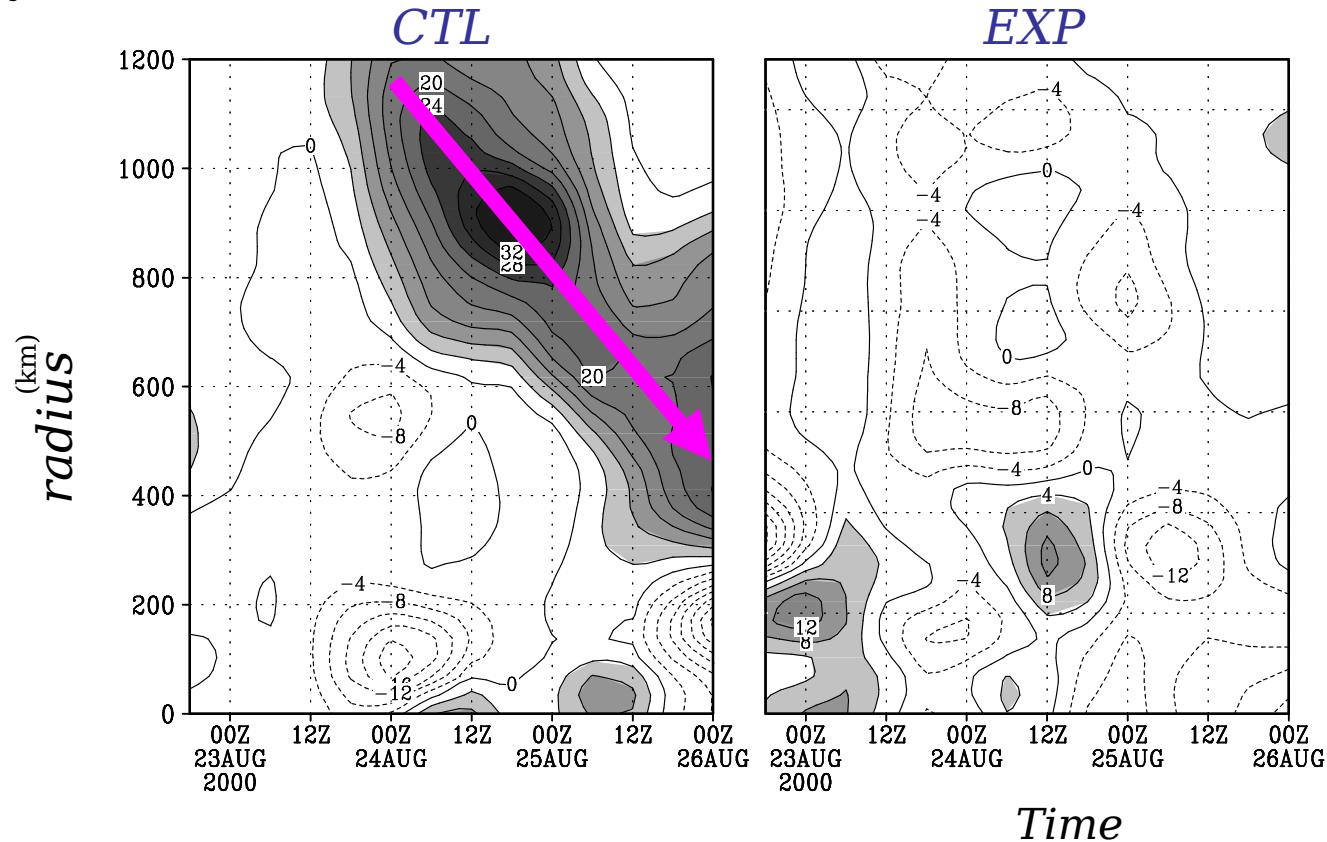
$$\frac{du_g}{dt} = fv_a$$

# Upper-level eddy flux convergence of relative angular momentum (*EFC*)

$$EFC = -\frac{1}{r^2} \frac{\partial}{\partial r} r^2 \overline{u_L v_L}$$

Titley and Elsberry  
2000

where  $u_L$  is the storm-relative radial velocity,  $v_L$  is the storm-relative tangential velocity,  $r$  is the radius.



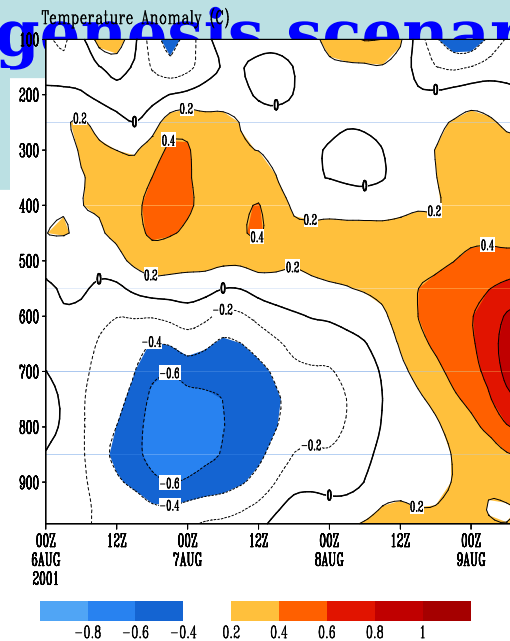
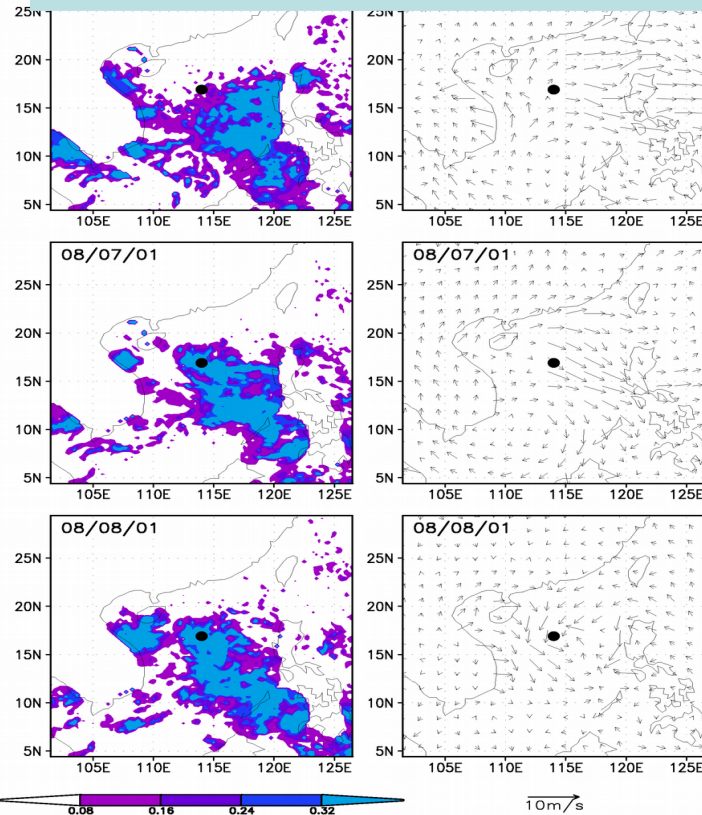
Radial-time cross section of 200-hPa EFC (contour interval:  $4 \text{ m s}^{-1} \text{ day}^{-1}$ ) in CTL (left panel) and EXP (right panel) run. The shaded areas indicate value greater than  $4 \text{ m s}^{-1} \text{ day}^{-1}$



# TC genesis associated with preexisting cloud cluster with no significant wind signals at the surface – A top-down cyclogenesis scenario

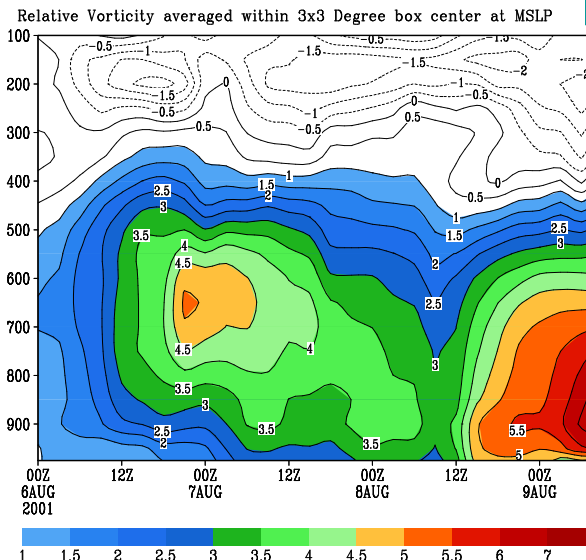
TMI liquid water

QuikSCAT wind



- A cold core below the mid-level cyclone and a warm core above – A thermal wind relation

- A transition of the cold core to a warm core



- A formation of mid-level cyclone at 600mb

- A downward development of the mid-level cyclone prior to TC genesis



# genesis forecast with **cloud-resolving WRF** model

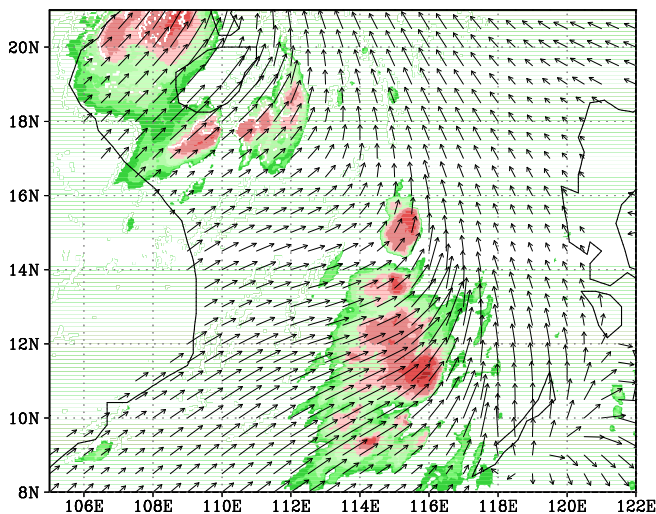
## Typhoon Durian (2001)

- **Horizontal resolution: 3 km**

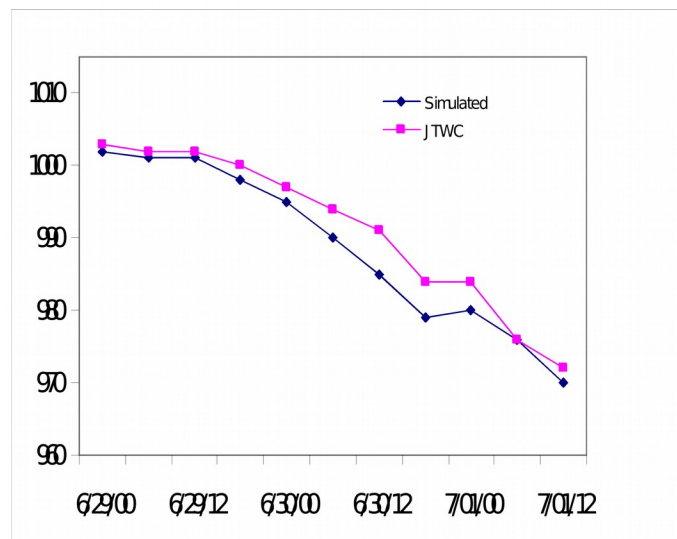
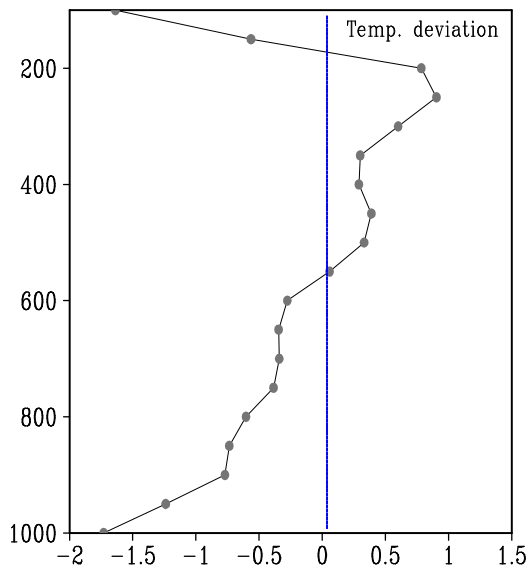
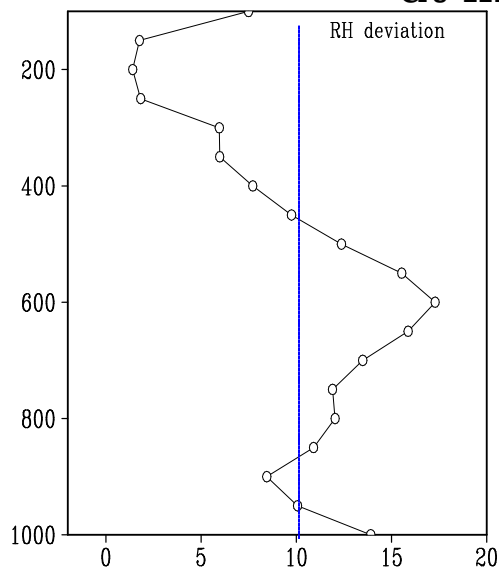
- Explicit convection scheme

- **Initial condition** from 0000UTC **06/28/2001** (48hrs prior to JTWC warning time 0000UTC

Vertical profile of moisture and temp anomaly at initial time

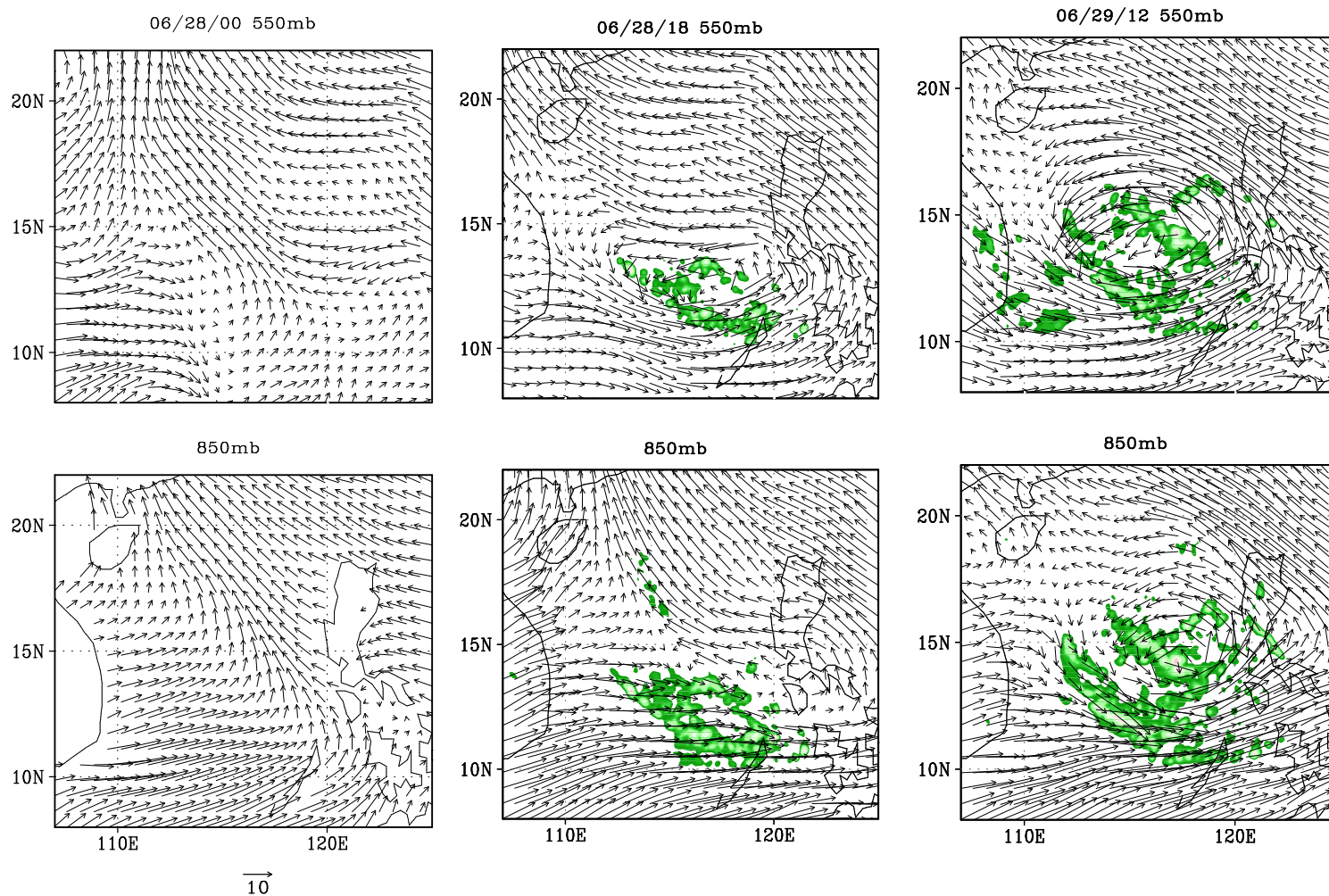


Observed surface wind (vector) and IR brightness temp. (shaded) at initial time



Real-case TC genesis forecast

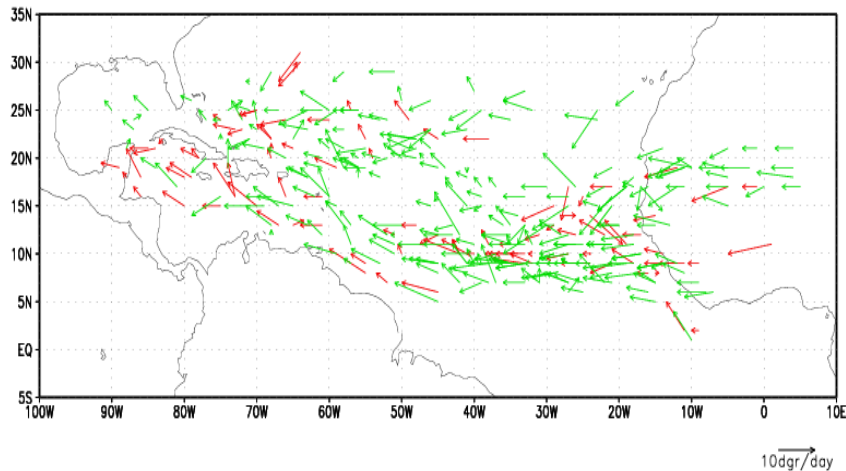
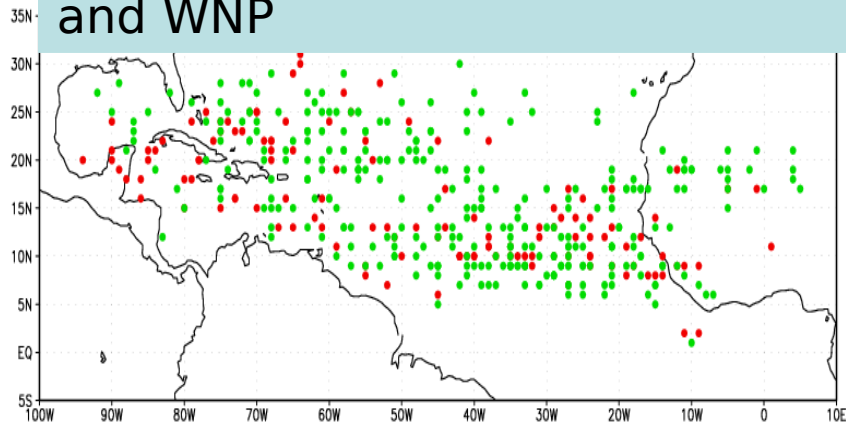
# The model wind (vector) and cloud liquid water (shaded)



Statistical Method:

A logistic nonlinear regression  
model

# Developing versus Nondeveloping Disturbances in North Atlantic and WNP



**NA:**

**Dev:**32 mvspd(ave): **5.29**

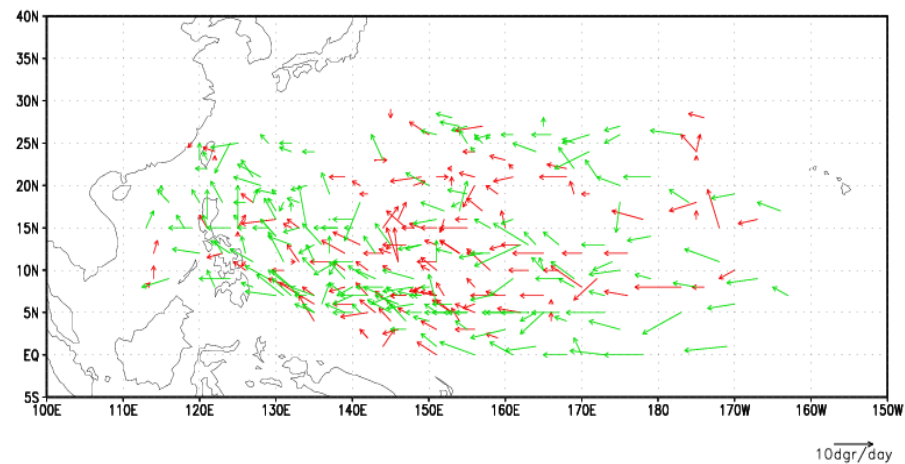
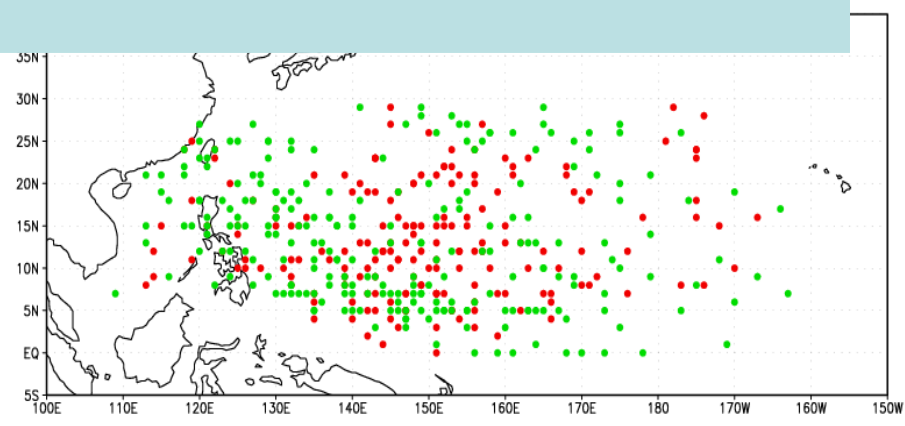
degrees/s

**Nondev:**55 **6.22**

degrees/s

Only day -3, day -2, day -1 and day 0 for Dev cases

All the days during the lifespan for Nondev cases



**WNP:**

**Dev:**46

mvspd: **4.31**

degrees/s

**Nondev:**41

**5.36**

degrees/s

# Definitions

## Developing cases:

Tropical storms reported in **JTWC** and NHC best track

The genesis date is the day when a TD formed with a max sustained wind over 25kts.

## Nondeveloping cases:

Cyclonic circulations in 3-8-day filtered wind and relative vorticity fields with

- (1) max vorticity  $> 1e-5$
- (2) mean radius  $> 400\text{km}$
- (3) lasting at least 3 days

NA:

Dev: 32

Nondev: 55

WNP:

Dev: 46

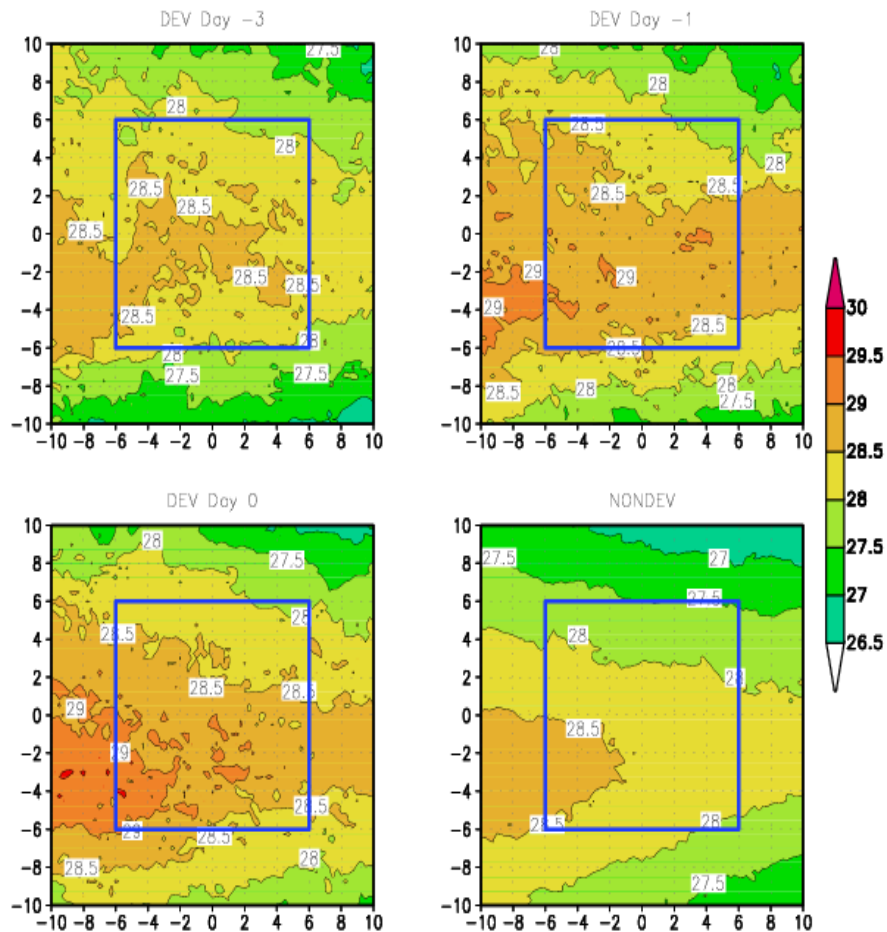
Nondev: 41

## Composites:

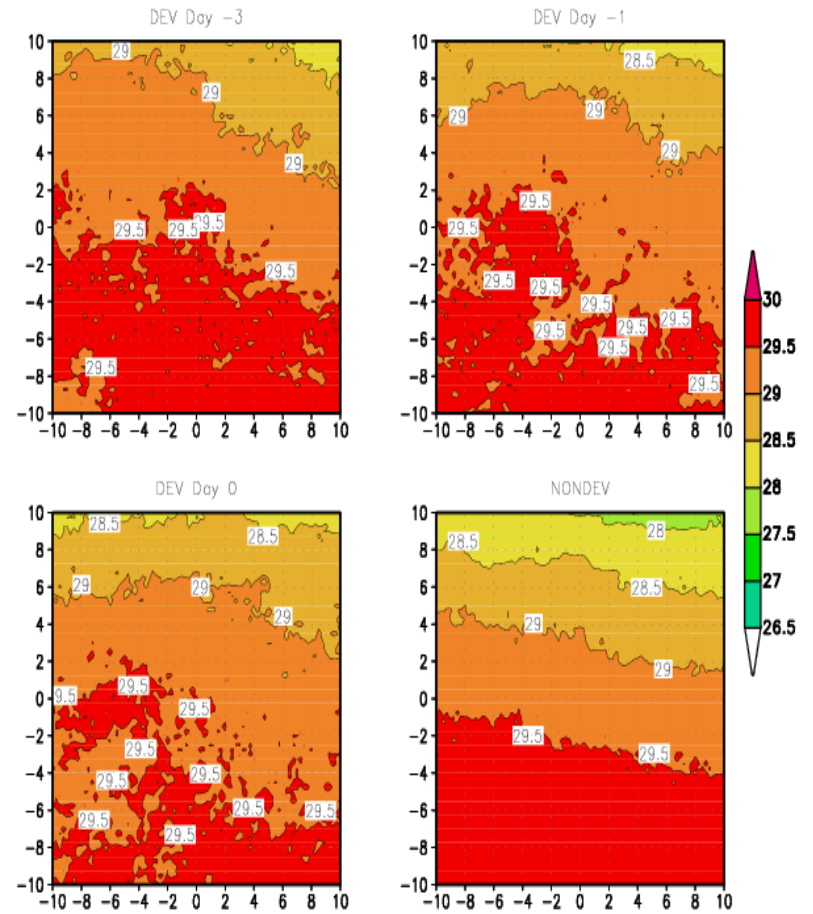
For Dev cases, we composite each case at day -3, day -2, day-1 and day0.

For Nondev cases, we composite all the days for all the selected

# Composite of TMI SST



NA

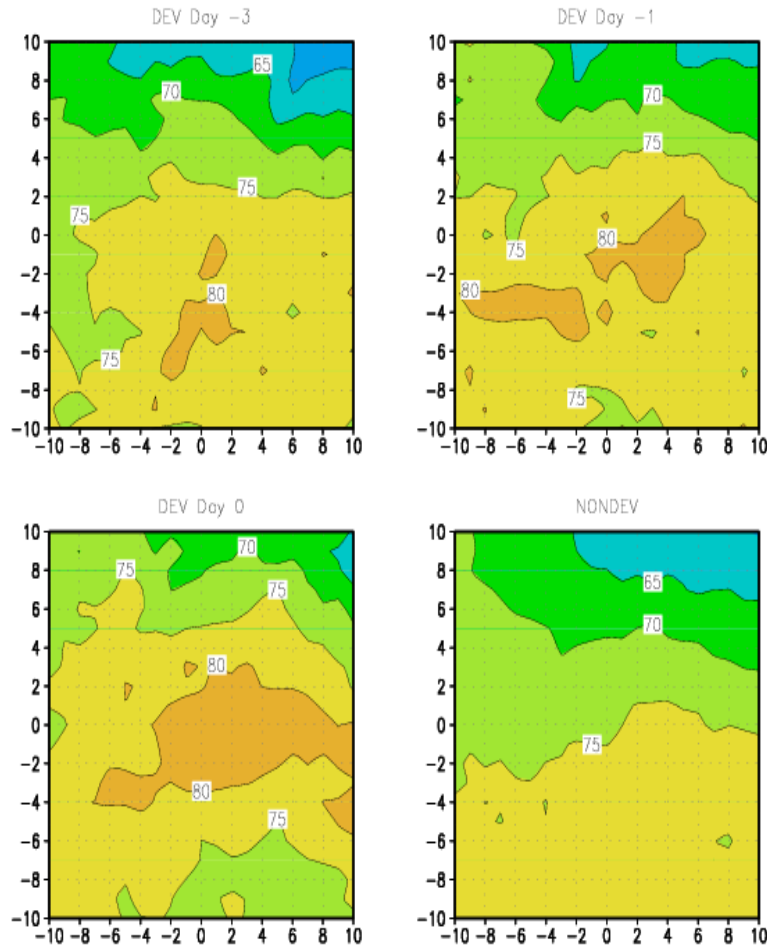


WNP

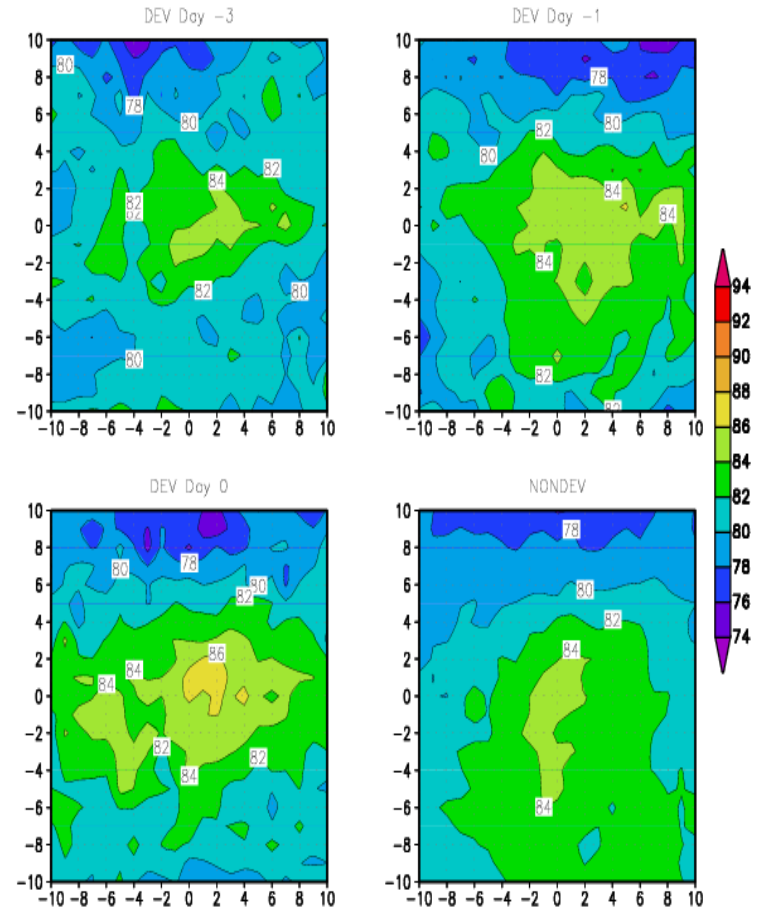
8.36°C, 28.59°C and 28.65°C vs 28.18°C



# Composite of 850mb relative humidity



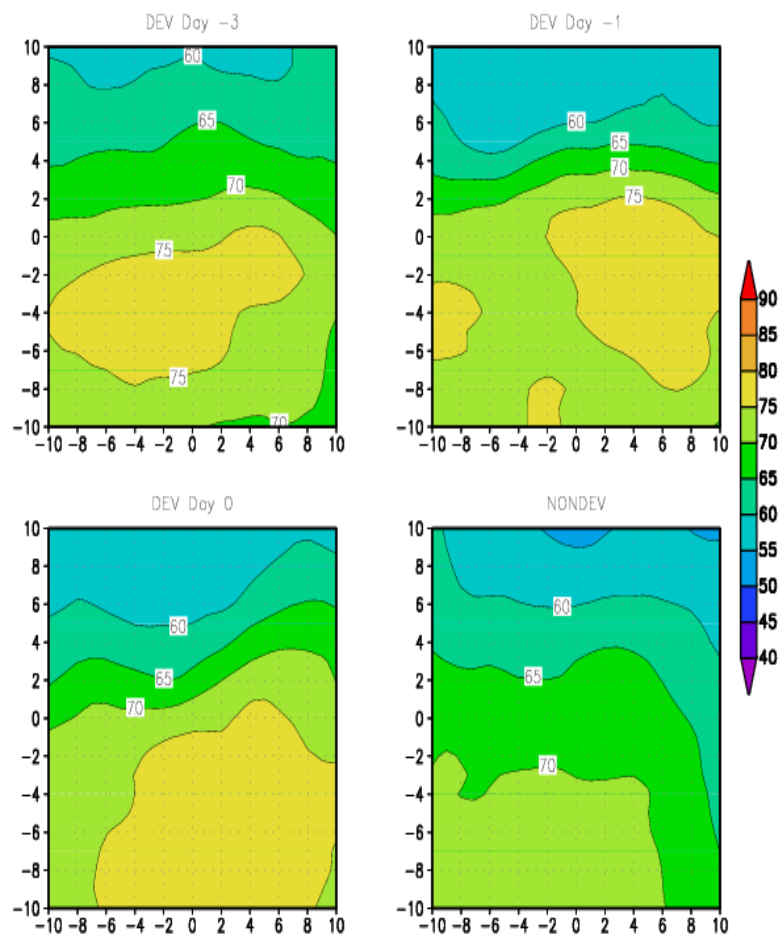
NA



WNP



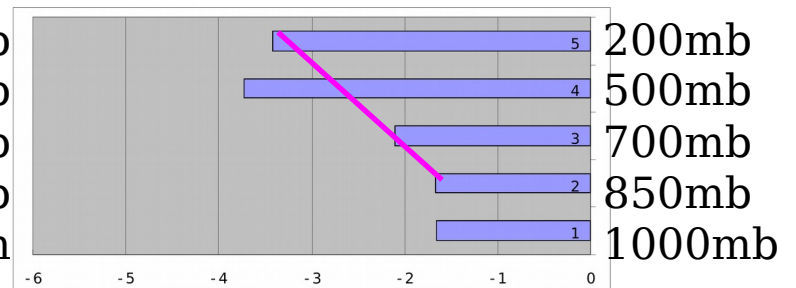
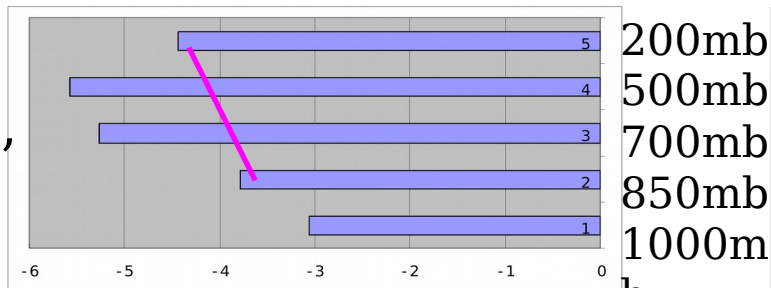
# Composite of 500mb relative humidity



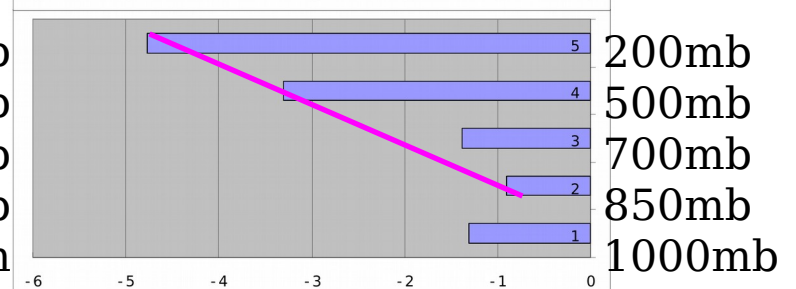
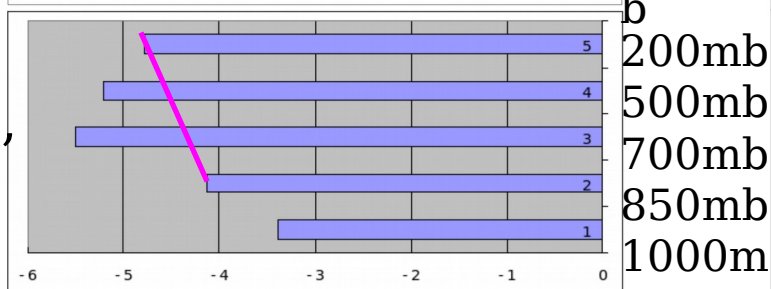
WNP

# Vertical profile of 10° x10° domain averaged 20-day filtered U component

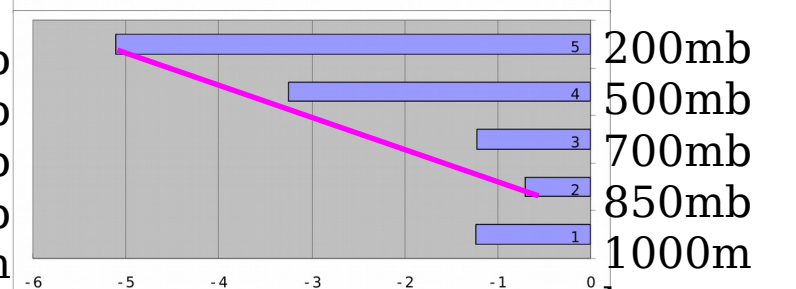
Day '-3'



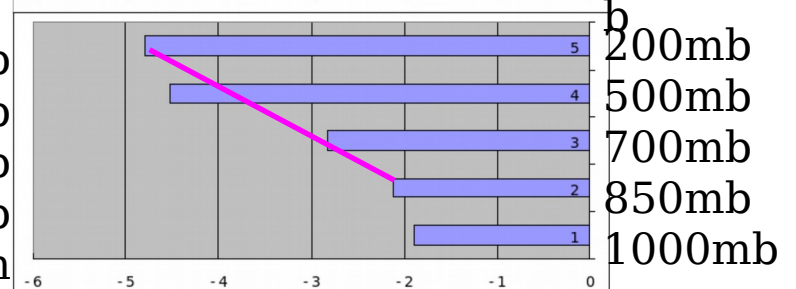
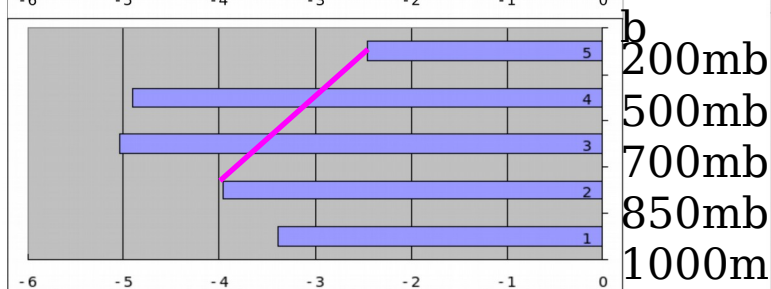
Day '-1'



Day '0'



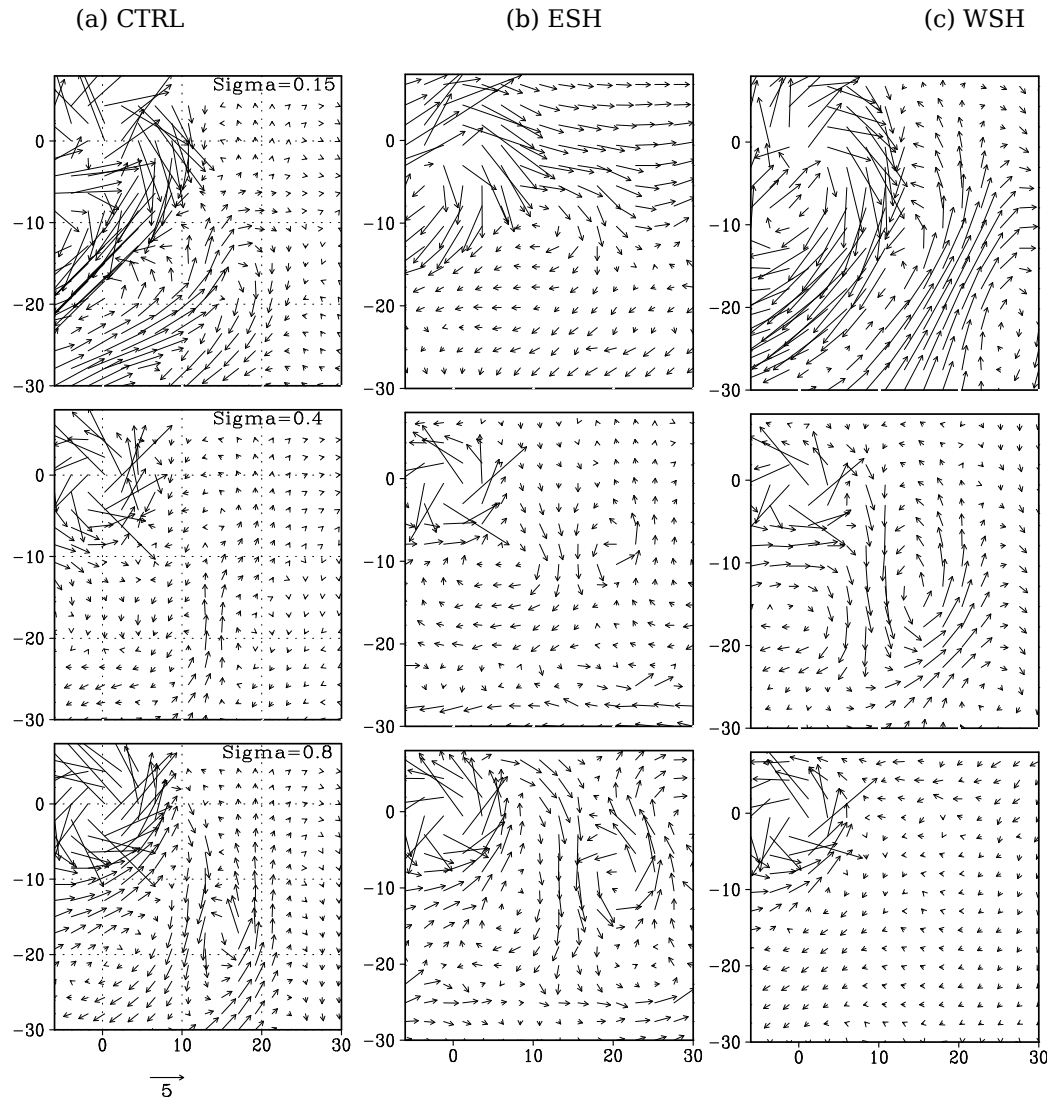
Nonde  
v



NA

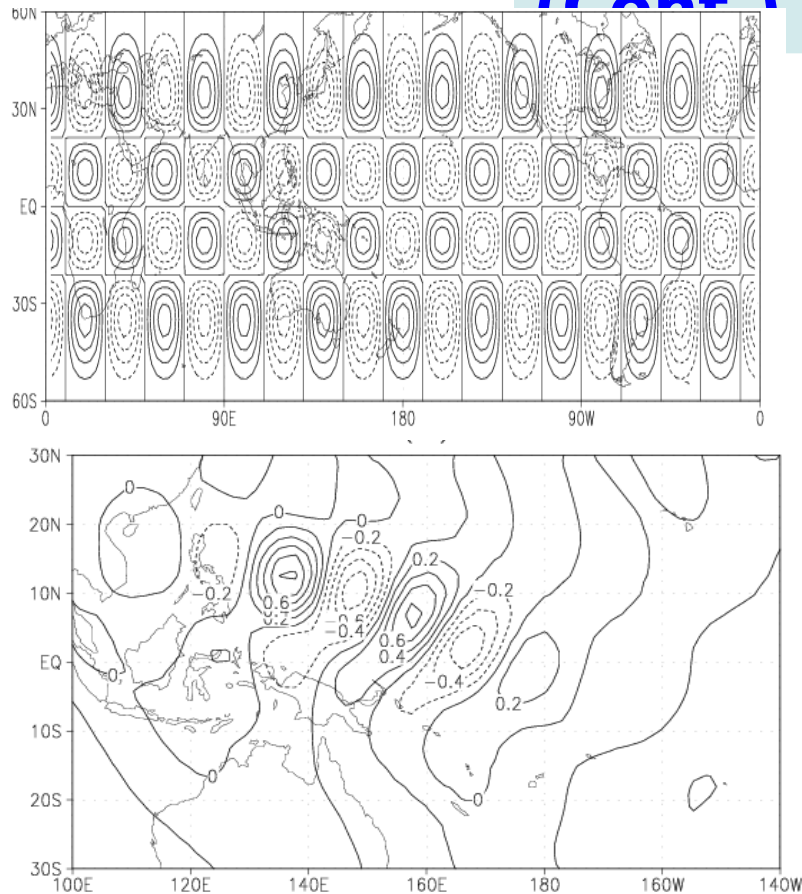
WNP

# Easterly (westerly) shear favors the amplification of TCED-induced Rossby wave train in lower (upper) troposphere

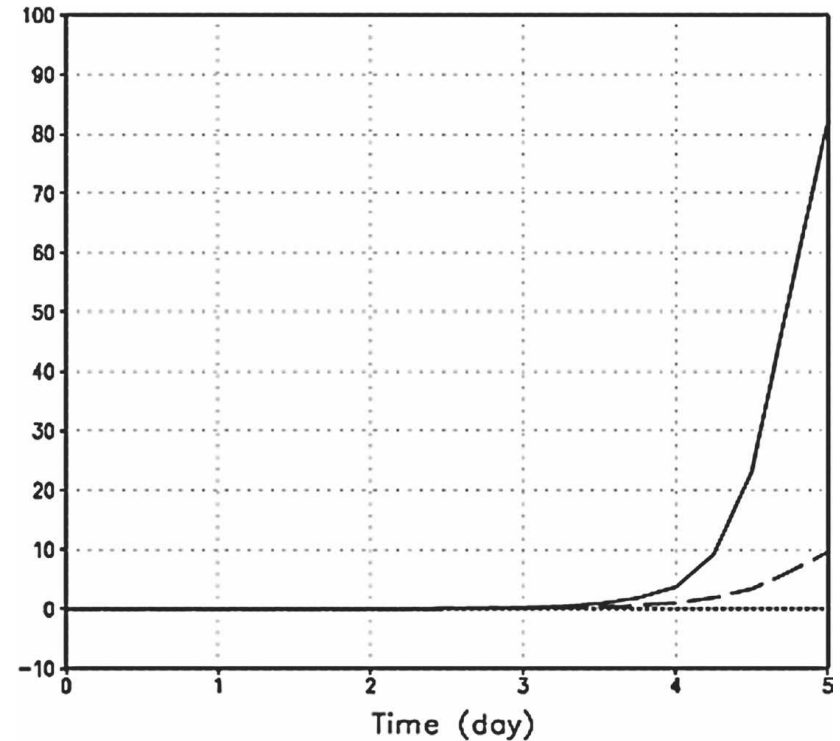


Ge, Li, et al. 2007,  
GRL

# The vertical shear effect (Cont.)



**Left:** Anomaly AGCM simulation with specified 3D summer (JJA) mean flows and SST and surface moisture condition



**Right:** Evolution of maximum perturbation kinetic energy under a constant easterly shear (solid line) and a constant westerly shear (dashed line).

# Multiple linear regression method

**Multiple linear regression** attempts to model the relationship between two or more explanatory variables and a response variable by fitting a linear equation to observed data.

Formally, the model for multiple linear regression, given  $n$  observations, is

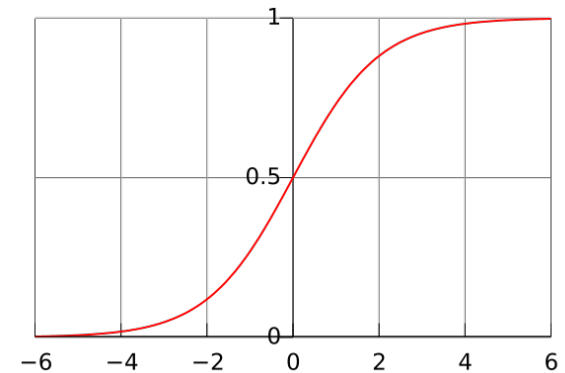
$$y_i = \beta_0 + \beta_1 x_{i1} + \beta_2 x_{i2} + \dots + \beta_p x_{ip} + \varepsilon_i \text{ for } i = 1, 2, \dots, n.$$

# Logistic nonlinear regression method

**Logistic regression** is a model used for prediction of the **probability** of occurrence of an event by fitting data to a **logistic curve**. It makes use of several predictor variables which may be either numerical or categorical.

$$f(z) = \frac{1}{1 + e^{-z}}$$

$$z = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \dots + \beta_k x_k,$$



**Advantages:** (1) nonlinear  
(2) used for both probability and deterministic forecasts

# Logistic nonlinear regression model for WNP

1. Selected variables for WNP

Mvspd, vor850, div850, rhum500, T anomaly500, shear200-850

2. Predictors

x1=vor850 (max [10x10 box])

x2=vor850 (pattern correlation)

x3=div850 (ave [20x20 box])

x4=div850 (pattern correlation)

x5=rhum500 (ave [20x20 box])

x6=rhum500(pattern correlation)

x7=T anomaly500 (max [20x20 box])

x8=T anomaly500(pattern correlation)

x9=shear200-850 (ave [10x10] box])

x10=shear200-850(pattern correlation)

x11=mvspd

Composite of dev (day -1)

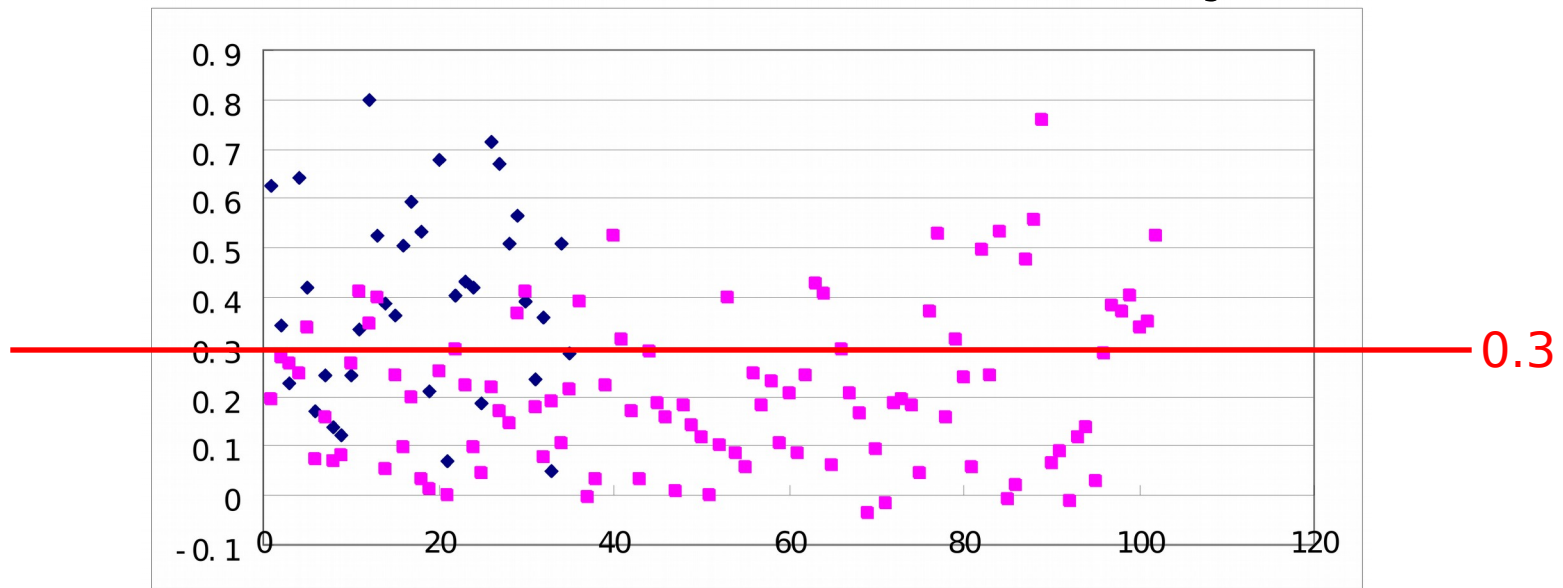
$$f(z) = \frac{1}{1 + e^{-z}}$$

$$z = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \cdots + \beta_k x_k,$$

We use samples from 2003-2005 as the input to derive the prediction model.  
Currently only to predict 24-48 hours TC genesis events

# Model in-sample validation

(based on NOGAPS 2003-05 analysis data)



OBS FCST	yes	no
yes	a=23	b=26
no	c=12	d=76

**Hit rate =  $a/(a+c) = 66\%$**

**False alarm rate =  $b/(b+d) = 25\%$**

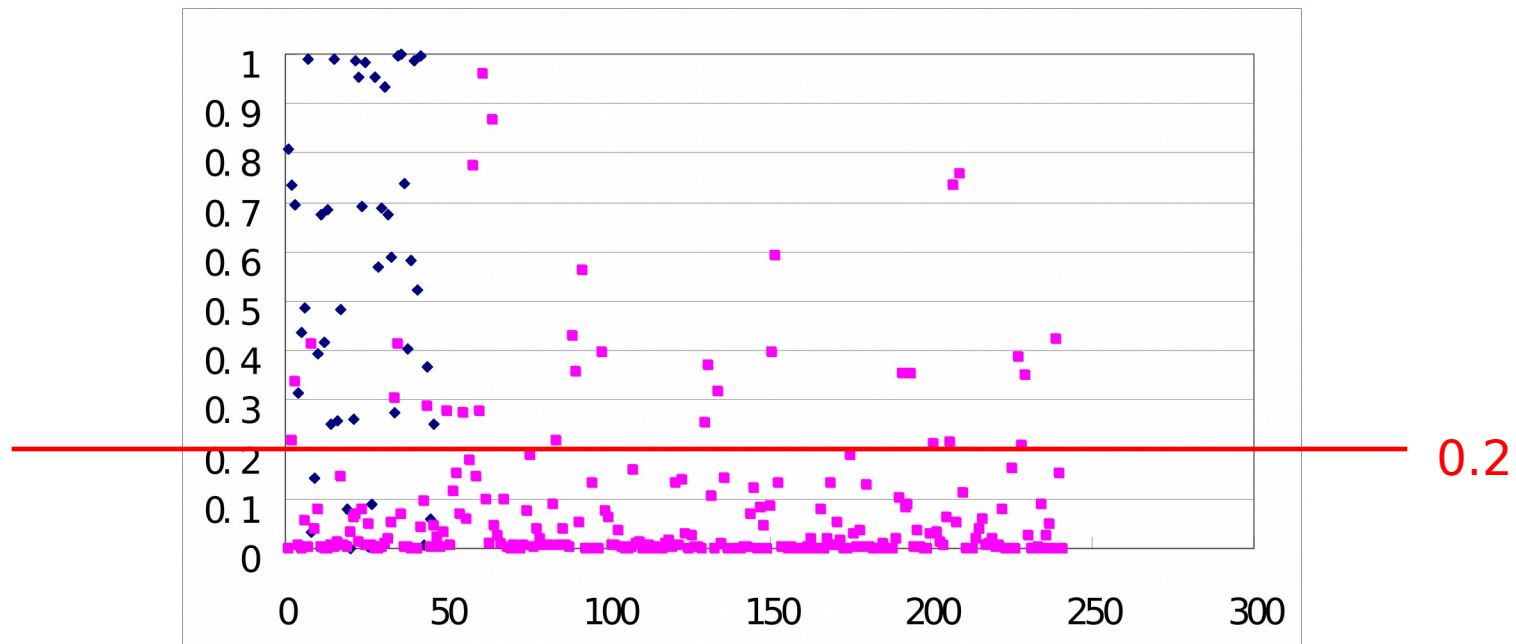
**Miss rate =  $c/(a+c) = 34\%$**

Correct rejection =  $d/(b+d) = 75\%$

Bias ratio =  $(a+b)/(a+c) = 1.4$



# Model in-sample validation (2003-2005)



OBS FCST	yes	no
yes	a=37	b=32
no	c=9	d=209

**Hit rate =  $a/(a+c)=80\%$**

**False alarm rate =  $b/(b+d)=13\%$**

**Miss rate =  $c/(a+c)=20\%$**

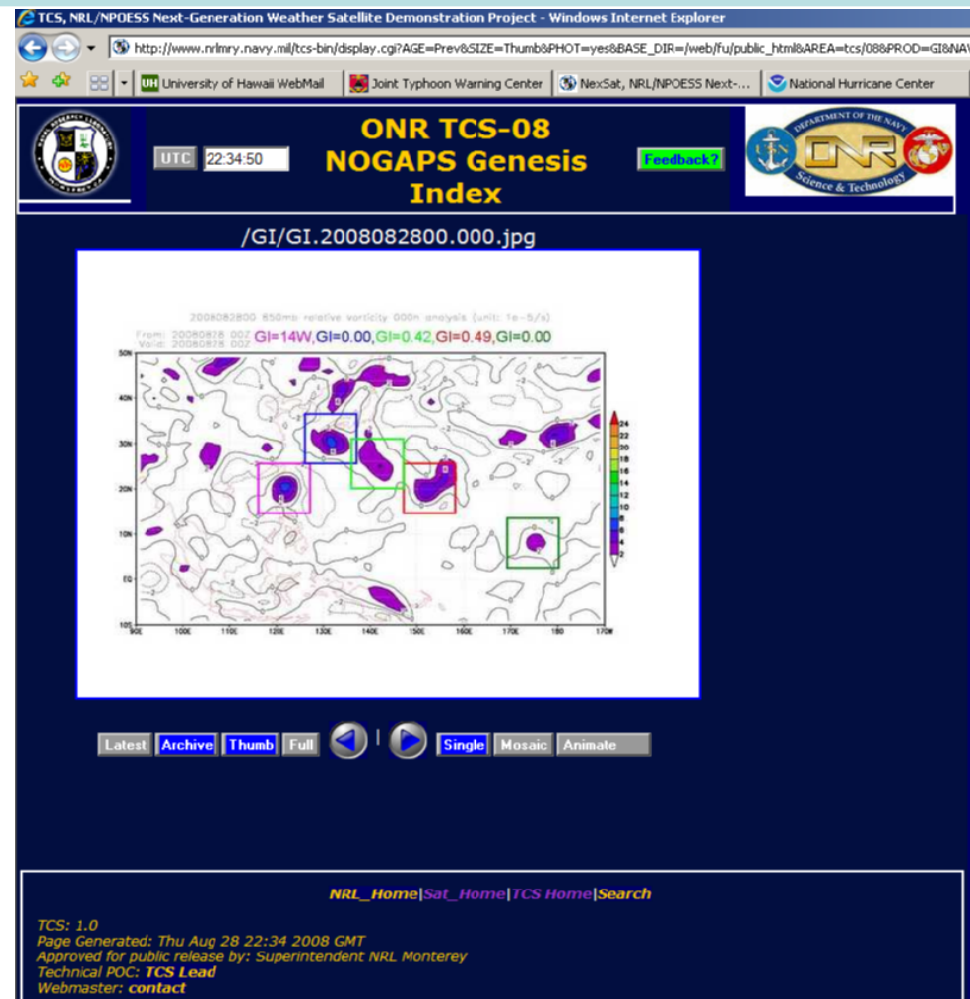
Correct rejection =  $d/(b+d)=87\%$

Bias ratio =  $(a+b)/(a+c)=1.5$

# The WNP real-time cyclogenesis forecast during TCS-08

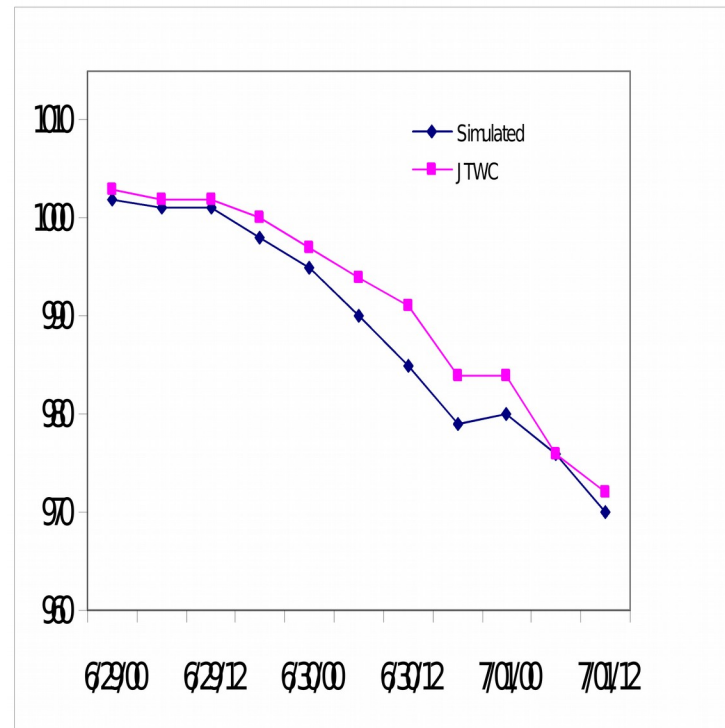
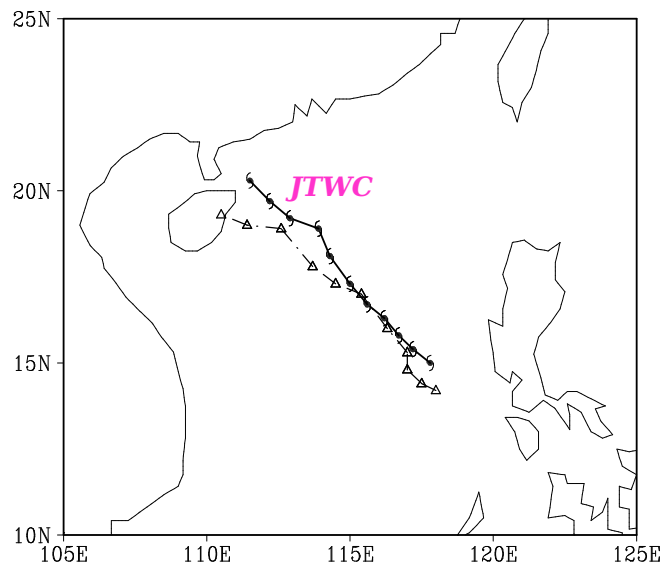
- Identify each disturbance in WNP during TCS-08
- Calculate the Genesis Potential Index (GPI) for each disturbance
- Posted the forecast in NRL website

12 TCs were verified during TCS-08

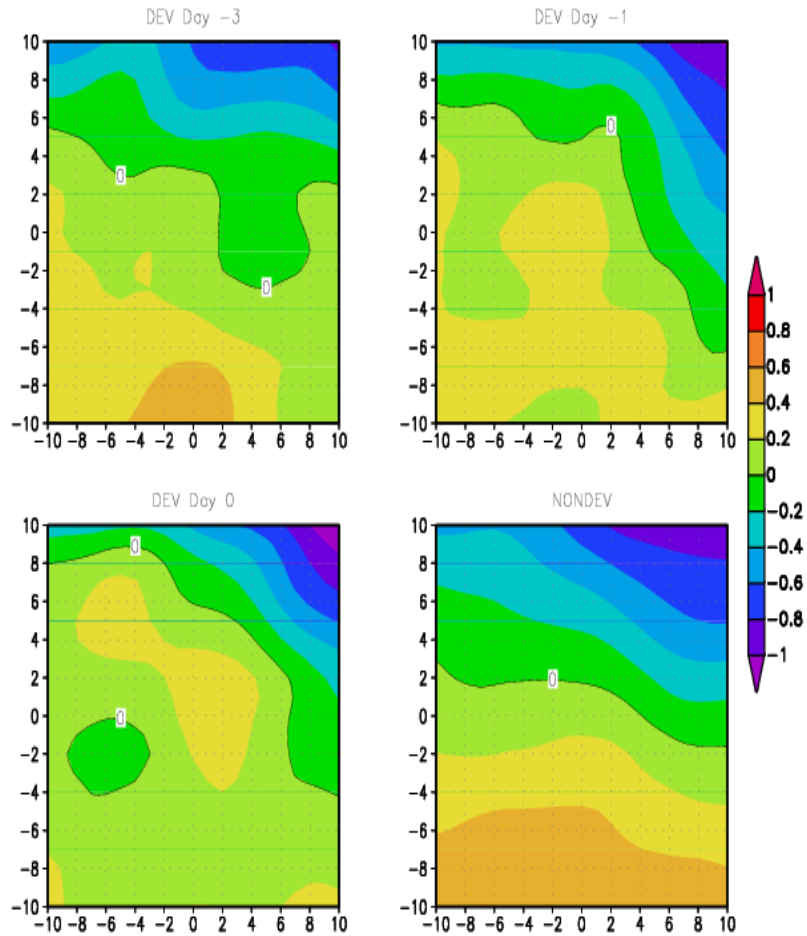


<http://www.nrlmry.navy.mil/TCS.htm>

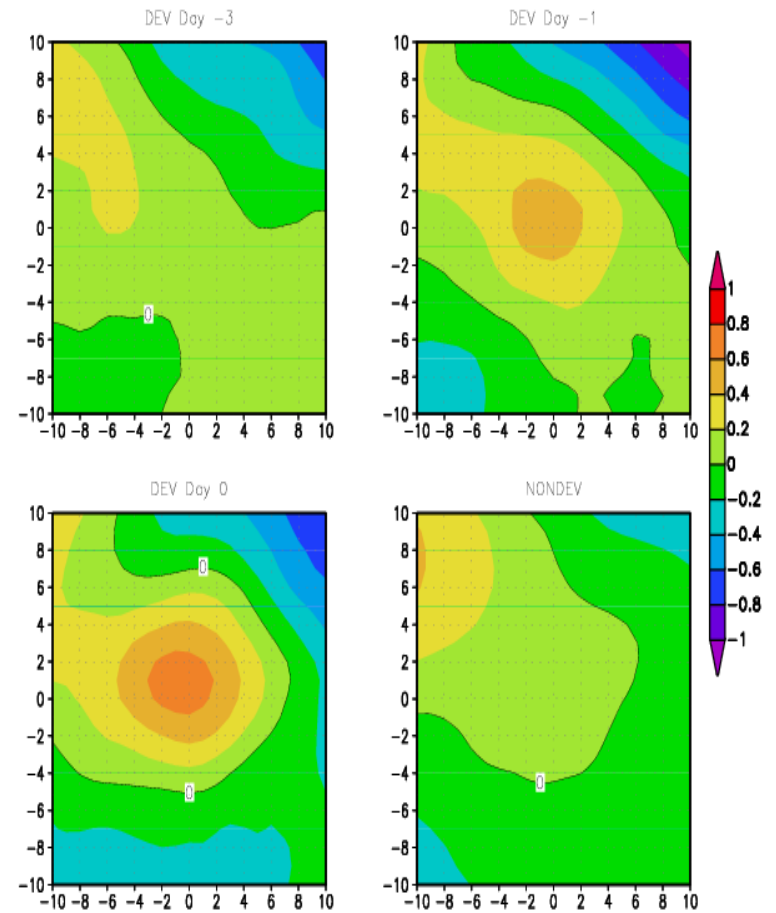
Thank you



# Composite of 500mb temperature anomalies

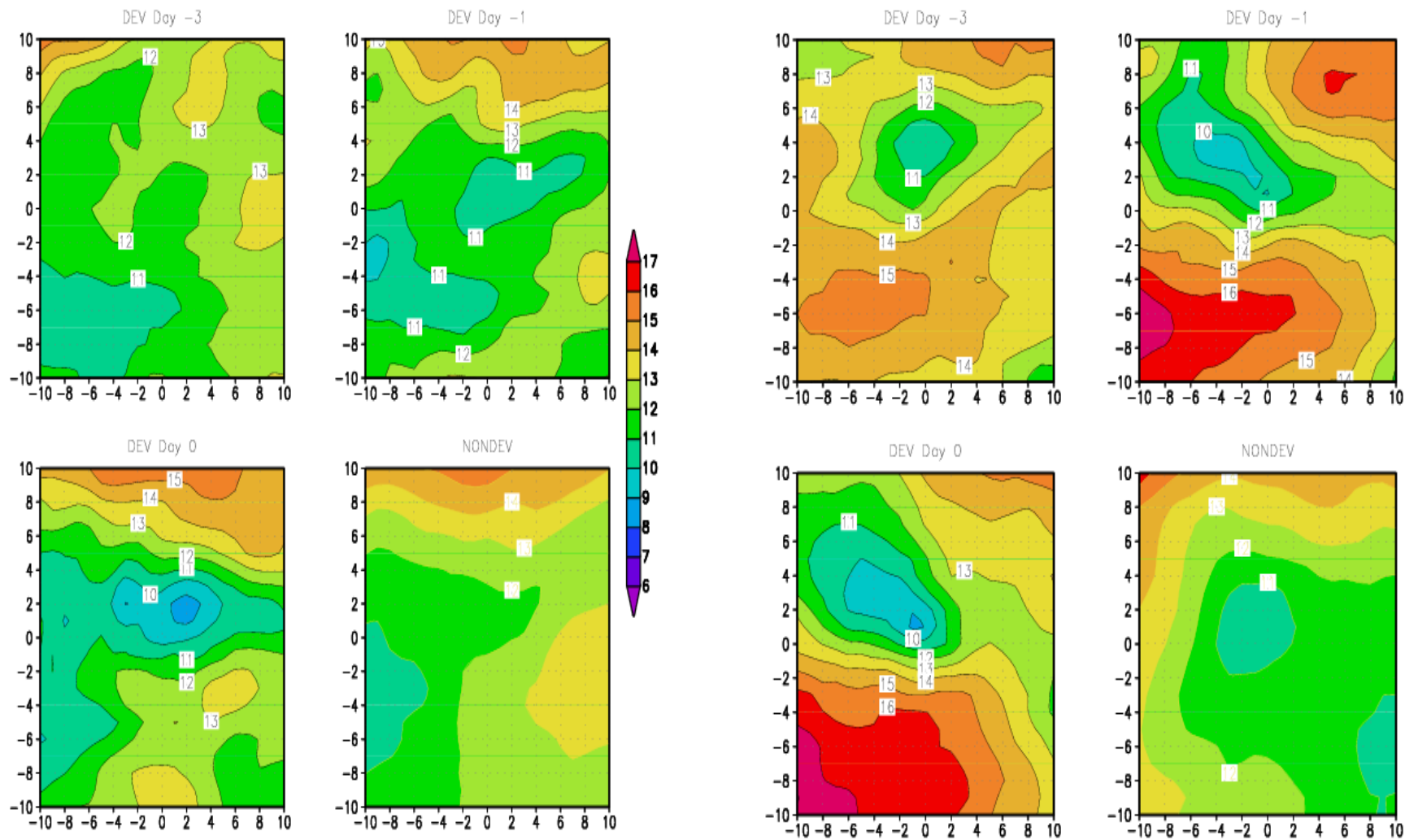


NA



WNP

# Composite of 200-850mb shear speed



NA

WNP

# Multiple linear regression model for WNP

1. Selected variables for WNP      Thresholds for TC genesis:  
Mvspd, vor850, div850, rhum500, 500mb relative humidity(20x20ave):

2. Predictors      >0.6

x1=vor850 (max [10x10 box])      Mvspd: (P(-2)-P(-1))/1day <15m/s

x2=vor850 (pattern correlation)      Vor850(10x10max): >3.167e-5

x3=div850 (ave [20x20 box])      Div850(20x20ave): <0

x4=div850 (pattern correlation)

x5=rhum500 (ave [20x20 box])

x6=rhum500(pattern correlation)

x11=mvspd

Composite of dev (day -1)

x1,x3,x5,x11 will be normalized before they are input into regression equation

Vor850,rhum850,Mvspd:

$Z = (X - X_{\min}) / (X_{\max} - X_{\min})$

Div850:

$Z = (X - X_{\max}) / (X_{\min} - X_{\max})$

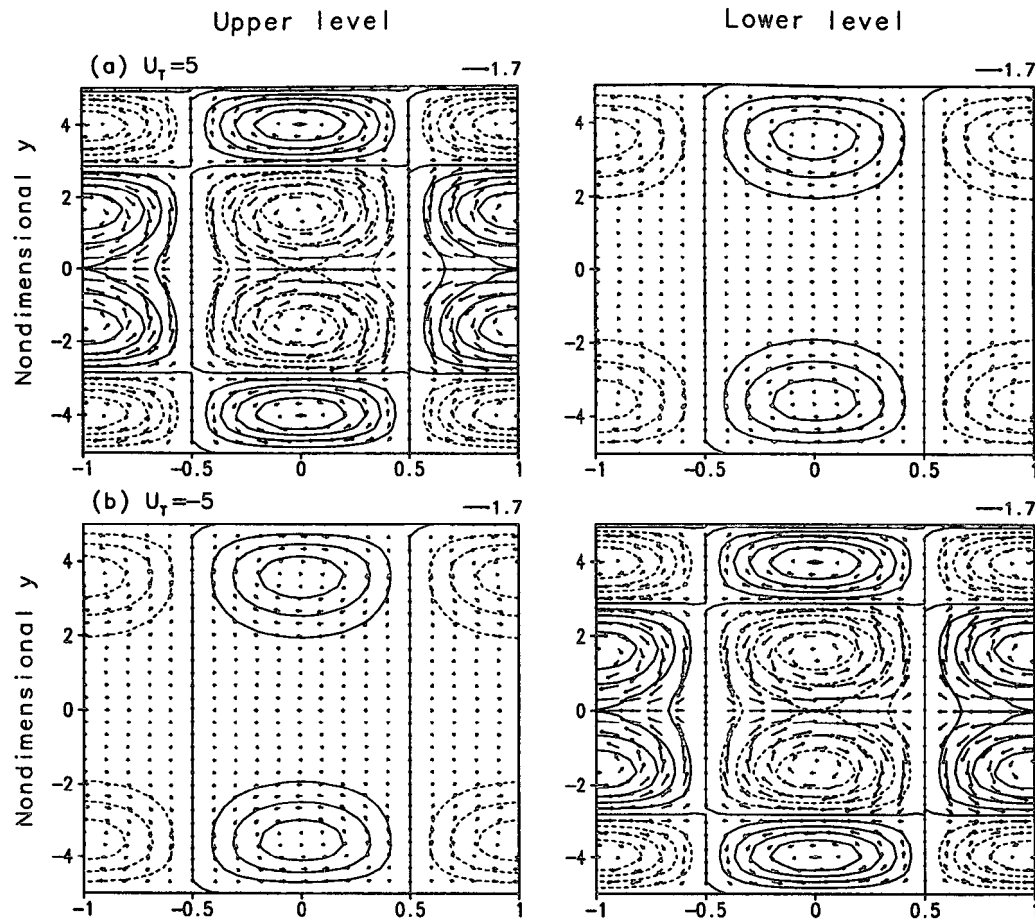
b1=0.074, b2=0.187, b3=0.269,  
b4=1.195

b5=-0.178, b6=-0.070, b7=-0.049

We use samples from 2003-2005 as the input to derive the prediction model.  
Currently only to predict 24-48 hours TC genesis events

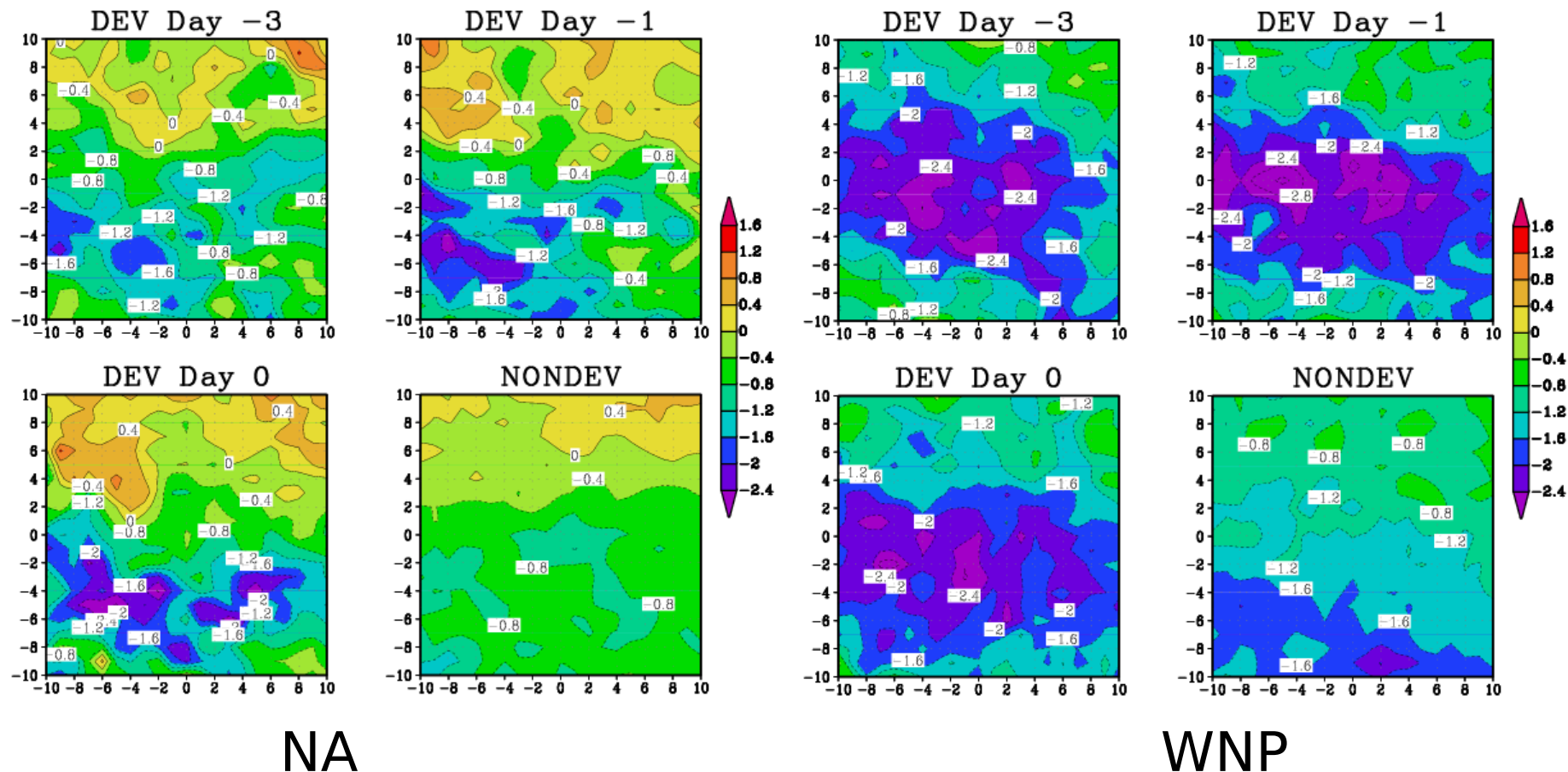


# Easterly shear favors the amplification of Rossby wave in lower troposphere



An easterly shear leads to the amplification of Rossby waves at lower levels, whereas a westerly shear favors the amplification of Rossby waves at upper levels.

# 5-day low-pass filtered 850mb convergence/divergence ( $10^{-6}$ s $^{-1}$ )

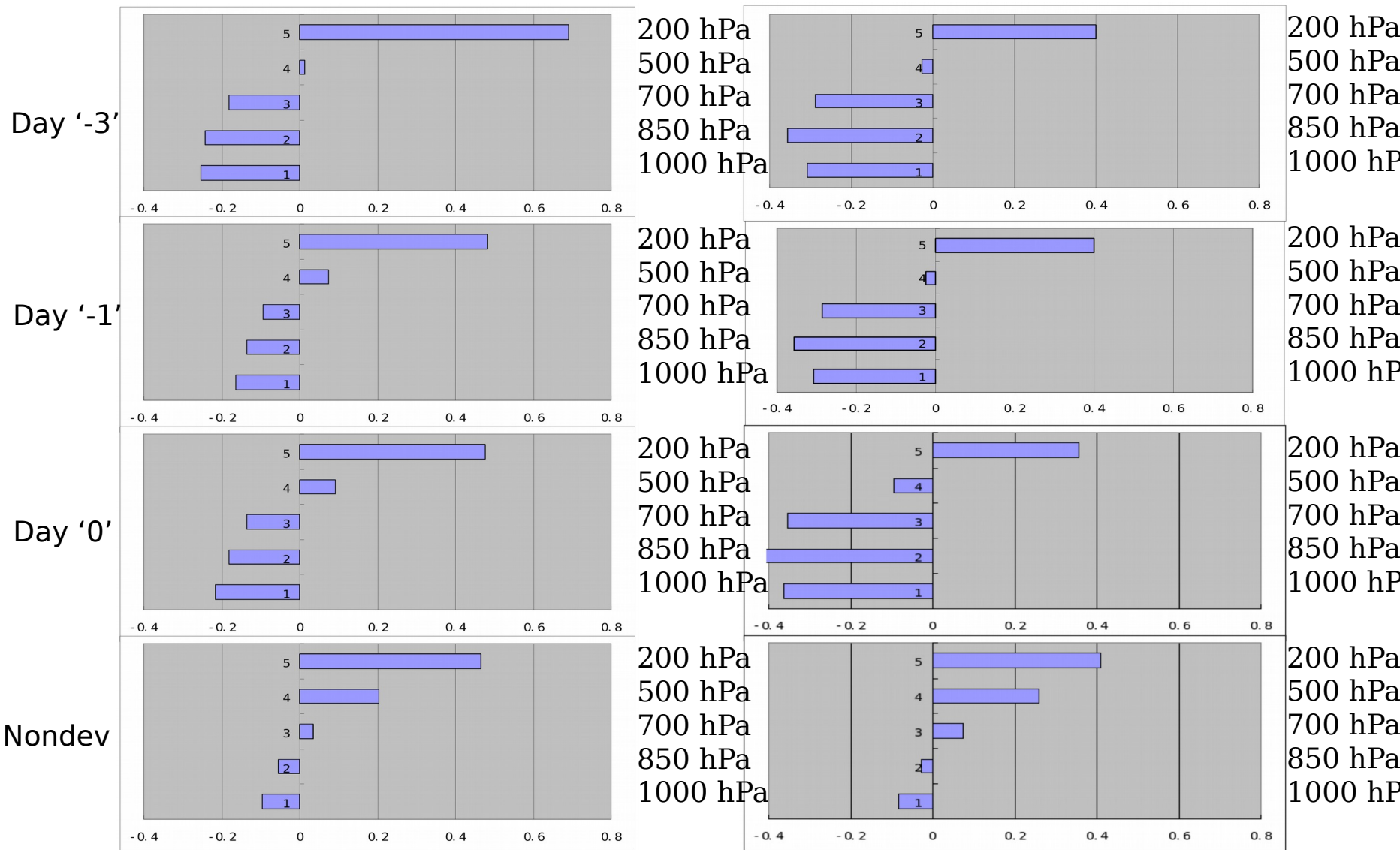


-0.655	-0.623
-0.659	-0.459

-1.530	-1.619
-1.614	-1.268

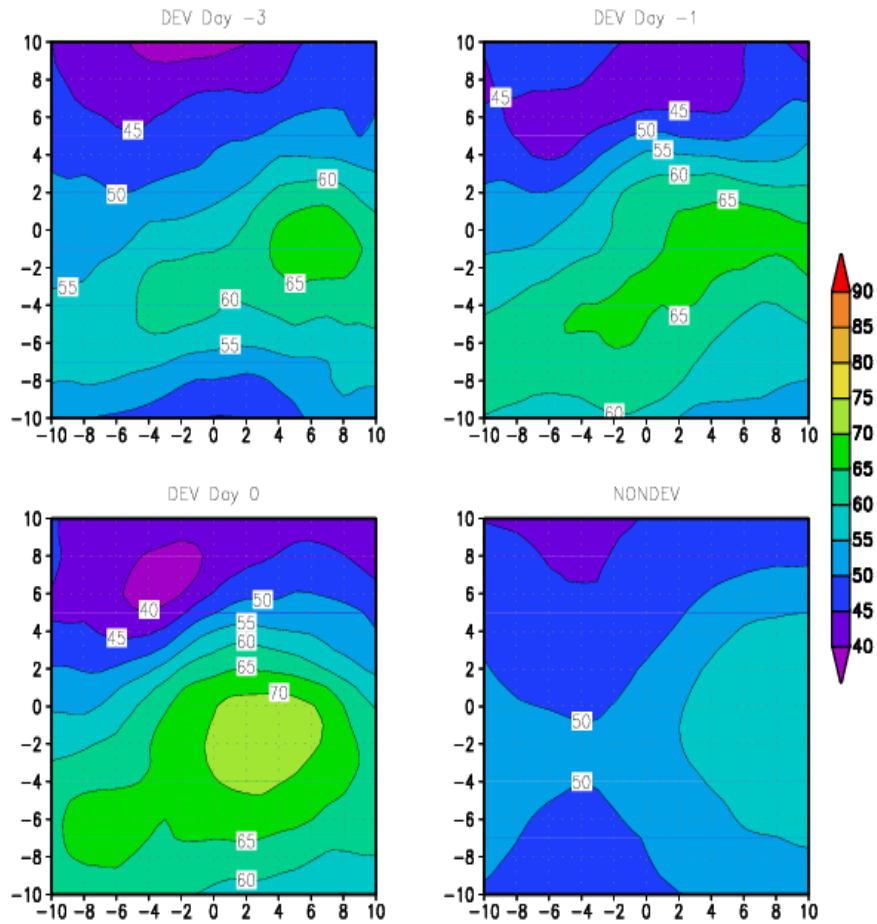
**Dev** vs **Nondev**: Stronger convergence for both basins

Vertical profile of  $10^\circ \times 10^\circ$  domain averaged 20-day low-pass filtered  $du/dt$

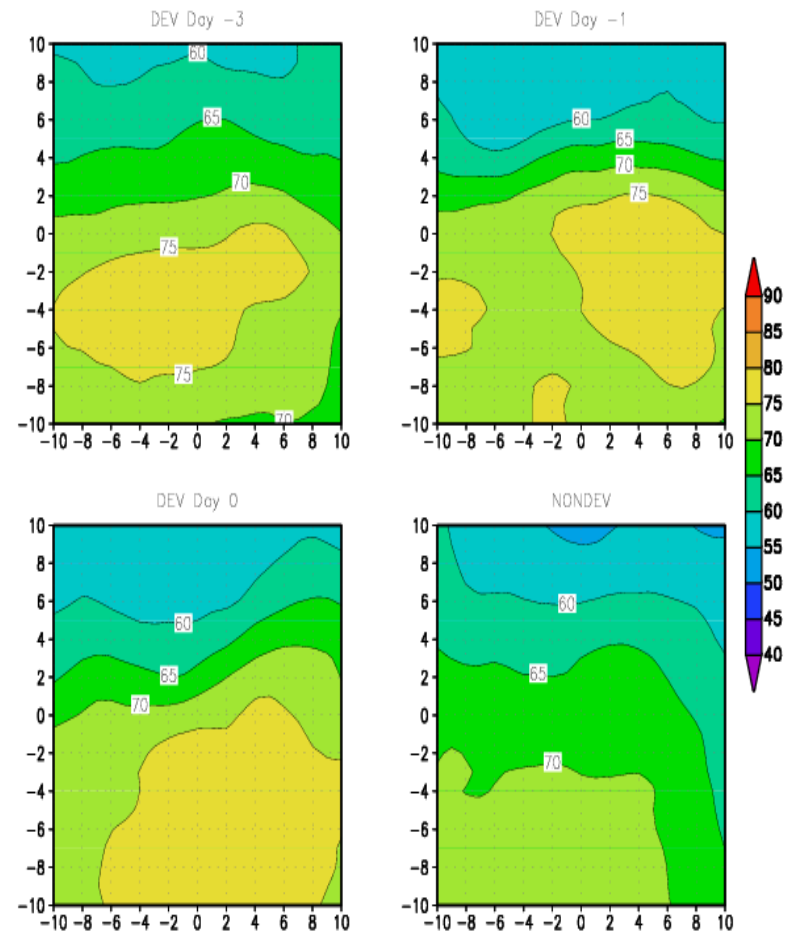


NA

# Composite of 500mb relative humidity



NA



WNP